

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

SERGUEI ANIKITCHEV ET AL.

Application No.: NEW

Filed: HEREWITH

For: APPARATUS FOR PROJECTING A  
LINE OF LIGHT FROM A DIODE-  
LASER ARRAY

Group Art Unit: Unknown

Examiner: Unknown

**INFORMATION DISCLOSURE  
STATEMENT**121 Spear Street, Suite 290  
San Francisco, CA 94105  
(415) 512-1312Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**CERTIFICATE OF MAILING**I hereby certify that this correspondence is being deposited  
with the United States Postal Service as "Express Mail" Label  
No. EV 338306575US in an envelope, addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA  
22313-1450 on Sept. 22, 2003.

STALLMAN &amp; POLLOCK LLP

Dated: 09/2203By: 

Janet Chan

Sir:

Applicant(s) submit(s) herewith patents, publications or other information [attached hereto and listed on the attached Form PTO-1449 (modified)] of which they are aware, which they believe(s) may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

This Information Disclosure Statement:

- (a) ☒ accompanies the new patent application submitted herewith. 37 CFR § 1.97(a).
- (b) ☐ is filed within three months after the filing date of the application or within three months after the date of entry of the national stage of a PCT application as set forth in 37 CFR § 1.491.
- (c) ☐ as far as is known to the undersigned, is filed before the mailing date of a first Office Action on the merits, or before a first office action after filing a Request for Continued Examination under § 1.114.
- (d) ☐ is filed after the first office action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned, prior to the mailing date of either a final rejection or a

notice of allowance, whichever occurs first, and is accompanied by either the fee (\$180) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below.

- (e) ☐ is filed after the mailing date of either a final rejection or a notice of allowance, whichever occurred first, and the Issue Fee has not been paid, and is accompanied by the fee (\$130) set forth in 37 CFR § 1.17(i)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.

[If either of boxes (d) or (e) is checked above, the following "certification" under 37 CFR § 1.97(e) may need to be completed.] The undersigned certifies that:

- (f) ☐ Each item of information contained in the information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
- (g) ☐ No item of information contained in this information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this information disclosure statement.

A list of the patent(s) or publication(s) is set forth on the attached Form PTO-1449 (Modified).

A copy of the items on PTO-1449 (Modified) is supplied herewith, except as noted below.

Those patent(s) or publication(s) which are marked with an asterisk (\*) in the attached form PTO-1449 (Modified) are not supplied because they are (a) either U.S. Patents and this an application filed after June 30, 2003, or (b) were previously cited by or submitted to the Office in a prior application no. \_\_\_\_\_, filed \_\_\_\_\_ and relied upon in this application for an earlier filing date under 35 U.S.C. § 120.

A concise explanation of relevance of the items listed on form PTO-1449 (Modified) is:

- (k) ☒ not given
- (l) ☐ given for each listed item

- (m) ☐ given for only non-English language listed item(s) [Required]
- (n) ☐ is in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references [copy attached].

The Examiner is reminded that a "concise explanation of the relevance" of the submitted items "may be nothing more than identification of the particular figure or paragraph of the patent or publication which has some relation to the claimed invention," MPEP § 609.

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

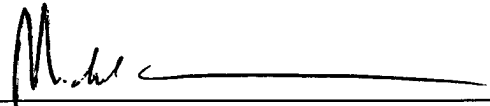
In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR § 1.98 and MPEP § 609 and the Examiner is respectfully requested to consider the listed references.

- ☒ The Commissioner is hereby authorized to charge our Deposit Account No. 50-1703, under Order No. COHD-5050, for any fees required in connection with the filing of this Information Disclosure Statement. **A duplicate copy of this Notice is enclosed for this purpose.** In particular, in the event that an Office Action has crossed in the mail with this Information Disclosure Statement, the Commissioner is authorized to charge the above-named deposit account for any fees required pursuant to CFR §§ 1.17(p) or 1.17(i)(1).

Respectfully submitted,

STALLMAN & POLLOCK LLP

Dated: 9 / 22 / 03

By:   
Michael A. Stallman  
Reg. No. 29,444

Attorneys for Applicant(s)

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	Docket Number (Optional) <b>COHD-5050</b>	Application Number <b>NEW</b>
	Applicant(s) <b>Serguei Anikitchev and R. Russel Austin</b>	
	Filing Date <b>HEREWITH</b>	Group Art Unit <b>Unknown</b>

### U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	*AA	4,826,269	05/02/1989	Streifer et al.	350	3.72	10/16/1987
	*AB	5,091,636	02/25/1992	Takada et al.	235	454	07/03/1991
	*AC	5,504,317	04/02/1996	Takahashi	235	462	01/05/1994
	*AD	5,517,359	05/14/1996	Gelbart	359	623	01/23/1995
	*AE	5,521,748	05/28/1996	Sarraf	359	321	06/16/1994
	*AF	5,710,418	01/20/1998	Tawara	235	472	11/03/1995
	*AG	5,967,645	10/19/1999	Anderson	362	259	04/04/1996
	*AH	5,969,872	10/19/1999	Ben Oren et al.	359	621	01/11/1999
	*AI	6,494,371	12/02	Rekow et al.	235	454	
	*AJ	4,497,015	01/85	Konno et al.	362	268	
	*AK	4,619,508	10/86	Shibuya et al.	353	122	
	*AL	6,431,727	08/02	Sugawara et al.	362	244	
	*AM	6,411,440	06/02	Ohuchi et al.	359	621	
	*AN	6,499,845	12/02	Sato	353	38	
	*AO	6,505,957	01/03	Chuang	362	268	
	*AP	5,594,526	01/97	Mori et al.	355	67	
	*AQ	6,478,452	11/02	Richardson et al.	632	268	
	*AR	5,610,763	03/97	Kudo	359	619	
	*AS	6,421,178	07/02	Lissotschenko et al.	359	559	

### FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AT	EP 0 886 162 A2	06/17/1998	EPC	G02B	27/09		

### OTHER DOCUMENTS

*(Including Author, Title, Date, Pertinent Pages, Etc.)*

	AU	Principles of Optics Electromagnetic Theory of Propagation, Interference and Diffraction of Light, by Max Born & Emil Wolf, Pergamon Press, 6th Edition, 1980 (reprinted 1984), Chapter "10.5.2 The influence of the condenser on resolution in a microscope," pp. 522-525.
	AW	U.S. Patent Application Publication No. US 2003/0128543 A1, published July 10, 2003, application no. 10/043,036, filed January 7, 2002, applicant: Mathew N. Rekow.

Examiner	Date Considered
<b>Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</b>	